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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| | | |
|---|------------------------|------------------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/913,745 |
| | Filing Date | August 16, 2001 |
| | First Named Inventor | Kathleen McKeown et al. |
| | Art Unit | 2172 |
| | Examiner Name | Jean M. Corrielus |
| Total Number of Pages in This Submission | Attorney Docket Number | A32313 PCT USA - 070050.1589 |

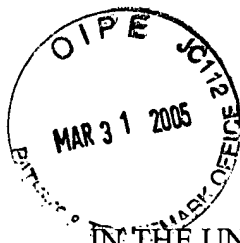
| ENCLOSURES (Check all that apply) | | |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | Request for Withdrawal of Abandonment with Exhibits A and B; Return Receipt Postcard. |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Reply to Missing Parts/Incomplete Application | <input type="checkbox"/> Landscape Table on CD | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | Remarks | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | |
|--|------------------|--------------|--------|
| Firm Name | Baker Botts LLP | Customer No. | 21003 |
| Signature | | | |
| Printed name | Paul D. Ackerman | | |
| Date | 03/29/2005 | Reg. No. | 39,891 |

| CERTIFICATE OF TRANSMISSION/MAILING | | | |
|---|------------------|------|------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: | | | |
| Signature | | | |
| Typed or printed name | Paul D. Ackerman | Date | 03/29/2005 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



A32313 PCT-USA (070050.1589)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : McKeown et al.
Serial No. : 09/913,745 Examiner: Jean M. Corrielus
Filed : August 16, 2001 Group Art Unit: 2172
For : MULTI-DOCUMENT SUMMARIZATION
SYSTEM AND METHOD

REQUEST FOR WITHDRAWAL OF ABANDONMENT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, Va. 22313-1450.

March 29, 2005

Date of Deposit

Paul D. Ackerman

Attorney Name

Signature

39,891

PTO Registration No.

March 29, 2005

Date of Signature

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This submission is in response to a Notice of Abandonment mailed on March 9, 2005 which indicates that no reply was received to an Office Action dated May 28, 2004.

On November 23, 2004, applicants filed a Notice of Appeal concerning an Office Action mailed on June 1, 2004 (dated by the Examiner on May 25, 2004). (As there is no

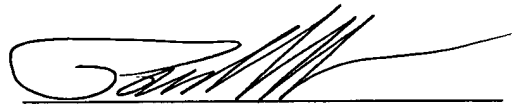
Patent and Trademark Office correspondence in applicants' file dated May 28, 2004, applicants assume this is the Office Action referred to in the Notice of Abandonment.) Copies of the Notice of Appeal and date stamped post card are attached hereto as Exhibit A.

On January 24, 2005, applicants filed an Appeal Brief. A copy of the first page of the Brief on Appeal and the date stamped post card showing receipt by the Patent and Trademark Office are attached as Exhibit B.

Applicants have submitted a timely response to the June 1, 2004 Office Action by properly lodging an Appeal. Accordingly, withdrawal of the holding of abandonment is respectfully submitted.

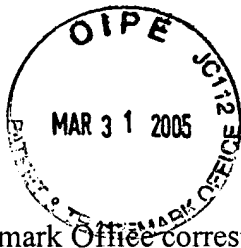
Applicants believe that no fee is due in connection with this filing. However, the Commissioner is authorized to charge Deposit Account No. 02-4377 if any such fees are due in connection with this filing. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Paul D. Ackerman
Patent Office Reg. No. 39,891
Attorney for Applicant
(212) 408-2585

Enclosures



A32313 PCT-USA (070050.1589)

Patent and Trademark Office correspondence in applicants' file dated May 28, 2004, applicants assume this is the Office Action referred to in the Notice of Abandonment.) Copies of the Notice of Appeal and date stamped post card are attached hereto as Exhibit A.

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Respectfully submitted,

Paul D. Ackerman
Patent Office Reg. No. 39,891
Attorney for Applicant
(212) 408-2585

Enclosures

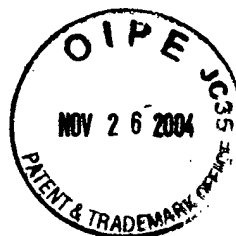
04 DEC 16 AM 8:38

PDA

| | | |
|--------|-------------------|---------------------------------|
| In Re: | McKeown et al. | A32313 PCT USA |
| | (Applicant) | (Serial No.) |
| In Re: | Notice of Appeal* | November 23, 2004 |
| | (Title of Paper) | (Date) |
| | | A32313 PCT USA - 070050.1589 |
| | | (File No.) |

The stamp of the Patent Office Mail Room hereon acknowledges the receipt of the above-identified papers on the date indicated by such stamp.

from the Examiner to the Board of Patent Appeals and Interferences
Transmittal Form and Fee Transmittal (in duplicate); \$450 check

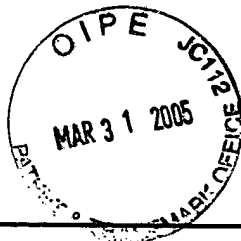


12/26/04

6/26/04

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BAKER BOTTS LLP



**NOTICE OF APPEAL FROM THE EXAMINER TO THE
BOARD OF PATENT APPEALS AND INTERFERENCES**

Docket Number (Optional)

A32313 PCT USA - 070050.1589

In re Application of

McKeown et al.

Application Number
09/913745

Filed
Dec. 10, 2001

For

Group Art Unit
2172

Examiner
Jean M. Corrielus

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the decision of the examiner dated, June 1, 2004, rejecting the following claims: 1-4, 6-11, 13-19, 21 and 22

The fee for this Notice of Appeal is (37 CFR 1.17(b))

\$ 340

☒ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is:

\$ 170

☒ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Commissioner has already been authorized to charge fees in this application to a Deposit Account. I have enclosed a duplicate copy of this sheet.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 02-4377. I have enclosed a duplicate copy of this sheet.

☐ A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

I am the

☐ applicant/inventor.

☐ assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

☒ attorney or agent of record. PTO Reg No.39,891

☐ attorney or agent acting under 37 CFR 1.34(a).
Registration number if acting under 37 CFR 1.34(a) _____

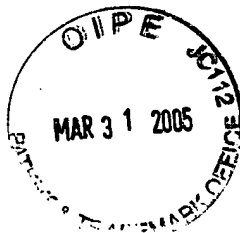

Signature

Paul D. Ackerman
Typed or printed name

Nov. 23, 2004
Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.



Please type a plus sign (+) inside this box → ☐

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|------------------------|-----------------------------|
| Application Number | 09/913745 |
| Filing Date | Dec. 10, 2001 |
| First Named Inventor | McKeown et al. |
| Group Art Unit | 2172 |
| Examiner Name | Jean M. Corrielus |
| Attorney Docket Number | A32313 PCT USA - 070050.158 |

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

| | | |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Notice of Appeal; Return Receipt Post Card; \$450 check. |
| Remarks <input checked="" type="checkbox"/> A two-month extension fee was paid with our Amendment filed November 1, 2004. The extension fee herewith is only for the third month. | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|-------------------------|---|-----------|------------------|
| Firm or Individual name | Baker Botts LLP 30 Rockefeller Plaza New York, NY 10112 | | |
| Signature | | Att Name: | Paul D. Ackerman |
| | | PTO Reg: | 39,891 |
| Date | Nov. 23, 2004 | | |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: Nov. 23, 2004

| | | | |
|-----------------------|------------------|------|---------------|
| Typed or printed name | Paul D. Ackerman | | |
| Signature | | Date | Nov. 23, 2004 |

BAKER BOTTS LLP

MAR 31 2005

**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT****(\$)** 450**Complete if Known**

| | |
|----------------------|------------------------------|
| Application Number | 09/913745 |
| Filing Date | Dec. 10, 2001 |
| First Named Inventor | McKeown et al. |
| Examiner Name | Jean M. Corrielus |
| Art Unit | 2172 |
| Attorney Docket No. | A32313 PCT USA - 070050.1589 |

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
Account
Number
Deposit
Account
Name

02-4377

Baker Botts LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee required under 37CFR 1.16 and 1.17☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-------------------------------|-------------------------------|------------------------|----------|
| 1001 770 | 2001 385 | Utility filing fee | |
| 1002 340 | 2002 170 | Design filing fee | |
| 1003 530 | 2003 265 | Plant filing fee | |
| 1004 770 | 2004 385 | Reissue filing fee | |
| 1005 160 | 2005 80 | Provisional filing fee | |

SUBTOTAL (1) (\$)

0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 20 | 20 | 0 | 0 |
| Independent Claims | 3 | 0 | 0 |
| Multiple Dependent | | | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|-------------------------------|-------------------------------|--|
| 1202 18 | 2202 9 | Claims in excess of 20 |
| 1201 86 | 2201 43 | Independent claims in excess of 3 |
| 1203 290 | 2203 145 | Multiple dependent claim, if not paid |
| 1204 86 | 2204 43 | ** Reissue independent claims over original patent |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$)

0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|---|----------|-------------|----------|--|----------|
| 1051 130 | | 2051 65 | | Surcharge - late filing fee or oath | |
| 1052 50 | | 2052 25 | | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | | 1053 130 | | Non-English specification | |
| 1812 2,520 | | 1812 2,520 | | For filing a request for ex parte reexamination | |
| 1804 920* | | 1804 920* | | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | | 1805 1,840* | | Requesting publication of SIR after Examiner action | |
| 1251 110 | | 2251 55 | | Extension for reply within first month | |
| 1252 420 | | 2252 210 | | Extension for reply within second month | |
| 1253 950 | | 2253 475 | | Extension for reply within third month | |
| 1254 1,480 | | 2254 740 | | Extension for reply within fourth month | |
| 1255 2,010 | | 2255 1,005 | | Extension for reply within fifth month | |
| 1401 330 | | 2401 165 | | Notice of Appeal | 170 |
| 1402 330 | | 2402 165 | | Filing a brief in support of an appeal | |
| 1403 290 | | 2403 145 | | Request for oral hearing | |
| 1451 1,510 | | 1451 1,510 | | Petition to institute a public use proceeding | |
| 1452 110 | | 2452 55 | | Petition to revive - unavoidable | |
| 1453 1,300 | | 2453 650 | | Petition to revive - unintentional | |
| 1501 1,330 | | 2501 665 | | Utility issue fee (or reissue) | |
| 1502 480 | | 2502 240 | | Design issue fee | |
| 1503 630 | | 2503 315 | | Plant issue fee | |
| 1460 130 | | 1460 130 | | Petitions to the Commissioner | |
| 1807 50 | | 1807 50 | | Processing fee under 37 CFR 1.17(q) | |
| 1806 180 | | 1806 180 | | Submission of Information Disclosure Stmt | |
| 8021 40 | | 8021 40 | | Recording each patent assignment per property (times number of properties) | |
| 1809 770 | | 2809 385 | | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 770 | | 2810 385 | | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 770 | | 2801 385 | | Request for Continued Examination (RCE) | |
| 1802 900 | | 1802 900 | | Request for expedited examination of a design application | |
| Other fee (specify) difference in extension fee | | | | | 280 |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

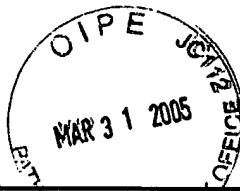
450

SUBMITTED BY

(Complete if applicable)

| | | | | | |
|-------------------|---|-----------------------------------|---------------|-----------|--------------|
| Name (Print/Type) | Paul D. Ackerman | Registration No. (Attorney/Agent) | 39,891 | Telephone | 212 408-2585 |
| Signature |  | Date | Nov. 23, 2004 | | |

BAKER BOTTS LLP



PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Docket Number (Optional)
313 PCT USA - 070050.1589

In re Application of

Application Number

09/913745

Filed Dec. 10, 2001

For

Group Art Unit

2172

Examiner

Jean M. Corrielus

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

☐ One month (37 CFR 1.17(a)(1))

\$ _____

☐ Two months (37 CFR 1.17(a)(2))

\$ _____

☒ Three months (37 CFR 1.17(a)(3))

\$ _____

☐ Four months (37 CFR 1.17(a)(4))

\$ _____

☐ Five months (37 CFR 1.17(a)(5))

\$ _____

☒ **Applicant claims small entity status.** See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ 0.

☐ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Commissioner has already been authorized to charge fees in this application to a Deposit Account.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 02-4377.

I have enclosed a duplicate copy of this sheet.

I am the ☐ applicant/inventor

☐ assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☐ attorney or agent of record.

☐ attorney or agent under 37 CFR 1.34(a).

Registration number if acting under 37 CFR 1.34(a) _____.

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Nov. 23, 2004

Date

PTO Reg No.:

39,891

Signature

Paul D. Ackerman

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of _____ forms are submitted.

Title:

MULTI-DOCUMENT SUMMARIZATION SYSTEM AND METHOD

Use Space Below for Additional Information:

BAKER BOOTS L.L.P.

05 FEB 10 AM 9: 33

TO

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| | | |

| | | |
|--------|-----------------------|----------------------|
| In Re: | McKeown et al. | 09/913,745 |
| | (Applicant) | (Serial No.) |
| In Re: | Brief on Appeal | 01/24/05 |
| | (Title of Paper) | (Date) |
| | | A32313 (070050.1589) |
| | | (File No.) |

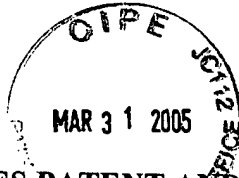
The stamp of the Patent Office Mail Room hereon acknowledges the receipt of the above-identified papers on the date indicated by such stamp.

Brief on Appeal (in duplicate);
Transmittal Form and Fee Transmittal (in duplicate);
BB check for \$250.00
Return Receipt Postcard



MAIL STOP APPEAL BRIEF-PATENTS

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FILE NO. A32313 - 070050.1589

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

On Appeal to the Board of

Appeals and Interferences

Appellant(s) : McKeown et al.

Serial No. : 09/913,745

Filed : August 16, 2001

Customer No. : 21003

For : MULTI-DOCUMENT SUMMARIZATION SYSTEM AND METHOD

Examiner: Jean M. Corrielus

Art Unit: 2172

BRIEF ON APPEAL

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

January 24, 2005

Date of Deposit

Paul D. Ackerman

Attorney Name

Signature

39,891

Registration No.

January 24, 2005

Date of Signature